# A semantic study of deictic auxiliaries in Burmese

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### 1. Introduction

The grammaticalization of motion verbs into deictic auxiliaries is a common phenomenon in Tibeto-Burman languages. DeLancey (1985) notes that this is a cyclic process, the directive category being regularly reinvented in the Tibeto-Burman languages, and almost as regularly lost again. This paper will explicate the semantics of two sets of deictic auxiliaries in Burmese. The first set comprises  $swa^1$  which is related to the verb 'go', and la, which is related to the verb 'come'. The second set comprises lok, related to the verb 'follow, accompany', and khái, which is of uncertain origin. Burmese allows verbs to be freely concatenated and this has led to an extensive system of versatile verbs (cf. Matisoff 1969; Smeall 1975) that can be classified according to varying degrees of grammaticalization. The degree of grammaticalization is largely determined by the semantics of the interaction between the auxiliary and the main verb. In the case of deictic auxiliaries like la and swà, which are synchronically attested as main verbs, the degree to which they are metaphorically extended depends on the semantics of their interaction with various categories of verbs. This synchronic continuum in the degree of grammaticalization is due to the semantic stability of their meanings as main verbs.

As auxiliaries, swà and la have developed beyond marking direction into the domain of aspect. Swà has developed a perfective aspectual sense from its meaning of departure from a deictic center. La, on the other hand, has developed an aspect of 'progression towards completion' from its meaning of motion towards a deictic center. In many, but not all examples, I have glossed la as 'becoming', indicating a progression towards (the completion of) a state. Similarly, from its basic meaning of 'follow, accompany', lok has developed an aspectual sense beyond that of displacement from a deictic center into the metaphorical notion of 'going ahead and doing something', which, in most uses, functions to give permission or ask for confirmation. Although the origin of khái is uncertain and not dealt with in this paper, it falls neatly into the paradigm, in opposition to lok and as the counterpart of la 'come'. As with la, khái indicates motion towards a deictic center. Unlike la, khái conveys a greater

<sup>&</sup>lt;sup>1</sup> The system of transliteration used in this paper represents standard Burmese orthography. The clear level tone (<PLB Tone \*1) is left unmarked (e.g. *la*); the heavy breathy tone (<PLB Tone \*2) is marked by a grave accent (e.g. *swà*); and the creaky tone (<PLB Tone \*3) is indicated by an acute accent (e.g. *khái*).

sense of displacement, forcing the further displacement of an argument in some uses (e.g. in combination with *rawk* 'reach').

### 2. La and swà

Since la and  $sw\dot{a}$  are deictically specified, the parameters of deixis (see DeLancey 1980) are relevant in investigating their metaphorical extensions. The deictic orientation of motion that la and  $sw\dot{a}$  specify comprises a two term system as in English: la 'come' indicating motion either towards the speaker or the hearer (SAL, Speech Act Location) and  $sw\dot{a}$  'go' indicating motion away (from the speaker or the hearer) in some other direction.

As in English, *la* 'come' treats both Speech Act Participants (SAP) as equals, where the speaker as well as the hearer may equally be treated as the deictic center towards which motion is directed (cf. DeLancey 1980).

1.	di-né ma la nái today NEG come IMP 'Don't come today.'	(speaker=deictic center)
2.	kyá-naw la khái may I come AUX IRR 'I will come.'	(hearer=deictic center)

Motion towards either SAP by a third person is expressed with la whereas motion by either SAP towards a third person is expressed with swa.

- màng chi ko su la pè may you AT TO he/she come give IRR 'He/she will come and give (it) to you.'
- 4. ngá chỉ ko su má la bù I AT TO he NEG come NEG 'He didn't come to me.'
- sú chí ko sưvà he AT TO<sup>2</sup> go '(You) go to him.'

 $<sup>^2</sup>$  ko, glossed as 'to', indicates destination and is the same as the morpheme used to mark objects, as opposed to ká, glossed as 'from', which indicates origin and is the same as the morpheme used to mark subjects.

6. sú chi ko swà may he AT OBJ go IRR '(I) will go to him.'

When la or swa precede another verb, they retain their full lexical meaning. Verbs that follow the head either retain their lexical meaning or undergo varying degrees of grammaticalization, which is determined by their semantics. Thus, in la cà tay '(He) came and ate' ("come eat RLS") and swa pràw may '(I) will go talk (to him)' ("go talk IRR"), the initial position ensures that la and swa will invariably retain their full lexical meanings. It is when either la or swa follows another verb that they undergo metaphoric extension or semantic bleaching. The semantics of these verbs in their grammaticalized uses are dependent on their interaction with the meaning of the main verb. For the purpose of explicating the differences in the degree of grammaticalization, main verbs have been categorized as either 'action', 'motion' or 'stative'. For the purpose of making clearer the difference between la and swa, stative verbs in Burmese have been further categorized into those that 'undergo progress' as opposed to those that do not.

**2.1 Action verbs with** *la* **and** *swà***. When following verbs that refer to concrete actions,** *la* **and** *swà* **retain their full lexical meaning. This is a case of what has been called by Matisoff (1969, 1973) simple "nonce concatenation", i.e. 'an unmarked sequence of verbs in which neither has a grammaticalized function' (cf. DeLancey 1991).** 

- 7. su thámàng cà la tay he rice eat come RLS 'He ate rice (and came).'
- mert thámàng khyak swà tay Mary rice cook go RLS 'Mary cooked rice (and left).'

However, with 'utterance verbs', that do not refer purely to concrete actions, the meaning of la has been metaphorically extended to express a gradual approach towards the completion of a state of affairs where there is a perception of some (usually social) difficulty in bringing up a matter:

9. su mè la tay she ask come RLS
'She has brought herself to ask the question' (in the context of some difficulty or problem). 10. su mè la pri he ask come PUNC
'He is beginning to ask' (about a matter which is embarrassing to bring up, e.g. a long-forgotten debt).

When swa combines with utterance verbs, it retains its lexical meaning of *departing from the SAL*:

 su pràw swà tay she say go RLS 'She said (something)' (and is now no longer at the SAL).

**2.2 Motion verbs with** *la* **and** *swà***. Following motion verbs,** *la* **and** *swà* **add a deictic specification. They specify motion either towards or away from a deictic center (cf. DeLancey 1991a):** 

- 12. nghak tac kawng sac-pang paw ká praM swà tay bird one CLF tree on FROM fly go RLS 'A bird flew away from the tree.'
- 13. nghak tac kawng sac-pang paw ko praM la tay bird one CLF tree on TO fly come RLS 'A bird flew towards the tree.'

With motion involving SAP's, la orients motion towards the deictic center, which is either the speaker or hearer or a terminal point where both the speaker and the hearer will be located. The deictic center is the hearer in (14):

14. nga prè la da nái màng lup ne da rap I run come NMLZ AND you do PROG NMLZ stop 'Stop what you're doing when I run towards you!'

In (15), the deictic center is displaced from the SAL to a terminal point where both SAP's will meet:

 màng kyàwng ko kà màwng la you school TO car drive come 'You drive and come to school (where I'll be).'

On the other hand, swa orients the motion away from the SAL. That is, the terminal point is not the other SAP, but rather some other point outside of the SAL:

- nga gu thài wang swà may
   I cave inside enter go IRR
   'I will enter the cave' (away from SAL).
- 17. màng kyàwng ko kà màwng swà you school TO car drive go 'You drive and go to school.' (away from SAL and in contrast to 15, where the speaker will not be)

**2.3 Stative verbs with** *la* **and** *swà*. A metaphorical extension takes place when *la* and *swà* are used with stative verbs. With a stative verb like *ne* 'stay/live', *swà* adds a sense of finality. This sense of finality is derived from its meaning of departure, of motion away from a deictic center. The deictic center in this case, would be a location or a state:

 su di mha ne swà tay he this LOC live go RLS 'He lived here' (and no longer does)

In contrast, the counterpart of (18), su di mha ne la tay is ungrammatical. However, the 'ne la' combination occurs in a nominalized clause which is an argument of a matrix verb:

19. su di mha ne la da kra pri he this LOC live come NMLZ be-of-some-length-of-time PUNC 'He has lived/has been living here for some time.'

In contrast to *su di mha ne da kra pri* (which simply means 'He has lived here for some time'), (19) conveys an extremely subtle difference in meaning. It is as if the person in question were in the process of living, attributing to him a tentativeness, a non-finality as to his choice of residence.

Another stative verb, that shows similar syntactic behavior in combination with suva and la, is thong 'sit'. Parallelling *ne* in (18), su di *mha* thong swa tay "he this LOC sit go RLS" means that 'He sat here (and no longer does) / He used to sit here.' The sense of finality that suva contributes is obviously derived from the absence of the subject from the scene. In contrast, the thong la 'sit come' combination is ungrammatical in a simple declarative sentence. However, as with *ne*, the thong la combination occurs in a nominalized clause which is the argument of a matrix verb:

20. su di mha thong la da ko má krok phù he this LOC sit come NMLZ OBJ NEG like NEG '(I) don't like him coming to sit here.' In (20), *la* does not literally mean 'to come'. The semantics of *thong* 'sit' has forced *la* to take on a metaphorical meaning, implying that the person in question has 'maneuvered' himself quite inappropriately into a location where he has no right to be. Without *la*, the sentence *su di mha thong da ko má krok phù* would simply mean '(I) don't like him to sit here.'

Furthermore, the directional meaning towards a deictic center indicated by *la*, and *away from a deictic center* indicated by *swà* easily allow extension to non-spatial, i.e. psychological states. Cognitive states allow themselves to be conceptualized as locations (cf. DeLancey 1991b) and there are examples of many such metaphors in English, as in the examples 'going to sleep', 'going into a depression', 'falling in love'. In metaphorical extensions such as these, states are the deictic centers.

Stative verbs fall into two semantic categories: (i) those indicating states that undergo a progression towards completion or perfection, that is, processes such as 'becoming big', 'becoming unhappy', 'becoming cold'; and (ii) those indicating states that do not undergo a similar process but are conceptualized instead as either existing or not existing, e.g. 'to be asleep (or not to be asleep)' or 'to faint and be in that state (or not to faint and for that state not to exist)'.

(i) With states that are conceptualized as a gradual process, la indicates progress towards completion whereas suva, from its meaning of departure from a state, indicates completion with a sense of finality:

- 21. bok krì la pri belly big come PUNC '(Her) belly is becoming big/(She) is (apparently) becoming more pregnant.'
- 22. bok krì swà pri belly big go PUNC '(Her) belly has become big/(She) got pregnant.'
- 23. wàm nàñ la tay stomach less come RLS 'becoming unhappy'
- 24. wàm nàñ swà tay stomach less go RLS 'became unhappy'
- 25. ra-si ?è la tay weather cold come RLS 'The weather is becoming cold.'

- 26. ra-si ?è suvà tay weather cold go RLS 'The weather turned cold.'
- 27. thang-rhà la tay distinct come RLS 'is becoming distinct'
- 28. thang-rhà swà tay distinct go RLS 'became distinct'

The sense of completion that swà indicates, adds emphasis to the sense of perfection expressed by punctual sentences:

 uxá suvà pri full go PUNC 'I'm full' (after eating, meaning 'I've had enough').

In contrast, without the swà, the sentence wá pri 'I'm full' is not as emphatic.

(ii) States that are not conceptualized as undergoing a progression towards completion, i.e. states conceptualized as either existing or not existing, are compatible with *sw*à only:

 mé swà tay faint go RLS '(I/he) fainted/became unconscious.'

In (30), *mé* 'to be unconscious' is a cognitive state which either exists or does not exist. In fact, *mé* 'to be unconscious' cannot occur on its own in a sentence. It must either take *swà* or *ne*. As an auxiliary, *ne* indicates the progressive aspect<sup>3</sup> but *mé ne tay* translates into '(He) is unconscious' indicating the existence of the state in contrast to 30, which shows its occurrence. Furthermore, the auxiliary *la* is not possible with *mé* meaning 'to faint/be unconscious'. However, *la* is compatible with *mé* meaning 'to forget', which is a volitional verb. Thus, *mé la tay* is grammatical when it means 'I/He forgot something (and came).'

 $<sup>^3</sup>$  The progressive aspect of the auxiliary  $\mathit{ne}$  is derived from its main verb meaning of 'stay/ live'.

The verb hp 'sleep' is also conceptualized as existing or not existing. Swà indicates entry into that state:

 31. Np swà pri sleep go PUNC '(The baby) has fallen asleep.'

When  $\lambda p$  combines with la, la retains its lexical sense since  $\lambda p$  'sleep' is not conceptualized as undergoing gradual progress:

32. su *Ip* la tay she sleep come RLS 'She slept (and came).'<sup>4</sup>

The following sentence is used to express the sense of progression towards the state of sleeping:

 33. *Ip* cá prú la tay sleep begin do come RLS '(She) is beginning to sleep.'

Verbs that express compact instantaneous events also fall into the second category since they do not undergo progression towards completion or perfection. Events like *pawk* 'to explode' either take place instantaneously or do not take place at all. With these verbs also *swà* is possible, but not *la*:

 bùn pawk swà tay bomb explode go RLS 'The bomb exploded (unexpectedly).'

In contrast, bùn pawk tay simply means that 'a bomb exploded'. In (34), la is not grammatical with pawk meaning 'to explode'. A parallel example is with pawk meaning 'to be punctured':

35. bìn pawk swà tay tire puncture go RLS 'The tire's punctured.'

It is not grammatical to say \*bin pawk la tay to mean 'The tire's becoming punctured.' However, the sentence is grammatical when it is interpreted as 'came with a flat tire', where la retains its lexical meaning. This is a case of

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<sup>&</sup>lt;sup>4</sup> This shows the difference between the auxiliary ha and the auxiliary ne, which is a true progressive auxiliary. With the auxiliary ne, the sentence hp ne tay means (She) is sleeping.

simple verb concatenation, literally translating into 'The tire punctured and (he) came (drove here).'

On the other hand, when *pawk* indicates a state that is conceptualized as undergoing progression, as in *pawk* meaning 'to be crazy', it combines with both la and swà:

36.	pawk swà pri	
	crazy go PUNC	
	'(He) has gone crazy'	(with a sense of finality and completion).

37. pawk la pri crazy come PUNC
'(He) is becoming crazy' (still in the process).

## 3. Lok and khái

Another pair of auxiliaries that parallel *swà* and *la* are *lok* and *khái*. *Lok* is related to a main verb meaning 'to follow, accompany', whereas *khái*, of uncertain origin, is totally grammaticalized and not attested synchronically as a main verb. *Lok* is referred to as 'distal' by DeLancey (1980) and as 'non-junctive' by Allott (1965). *Khái* is referred to as 'proximal' by DeLancey and 'junctive' by Allott.

Lok, as a main verb, variously means 'follow', 'pursue,' or 'accompany':

- 38. su-khò ko pú-lip twe lok krá tay thief OBJ police PLU follow PLU RLS 'The police chased the thief.'
- phe-phe nát lok swà father WITH follow go 'Go along with father.'

As an auxiliary, *lok* contributes the meaning of motion away from a deictic center:

- 40. su kyàwng swà may. sú ko ?ip pè lok pa she school go IRR. she OBJ bag give lok POLITE 'She's going to school. Please give her the bag (to take with her).'
- 41. kyá-náw ko pè lok pa
   I OBJ give lok POLITE
   'Please give (it) to me' (and I will take it away).

(40) and (41) are usually used in contexts where the subject will be leaving the SAL. In other words, the direct object will 'accompany' the subject away from the SAL. Without *lok*, the sense of motion away from a deictic center is absent:

42. kyá-náw ko pè pa I OBJ give POLITE 'Please give (it) to me.'

It is in the sense above as an exponent of deictic specification that lok has been described as expressing action 'away from the situation' (Cornyn 1944) and as 'action involving change of place' (Stewart 1955). Allott (1965) defines lok as 'carrying through with an action in a location that is not associated with the speaker's location.' She gives the example swà yu lok 'Go take/get something', in the context of a master sending off a servant to fetch something. However, it can be argued that the sense of displacement in her example is caused by swà 'go' and not so much by lok. Without swà, yu lok does not have any sense of displacement. In yu lok as well as in Allott's example of swà yu lok the presence of lok may, at the most, add a subtle difference of permission. In other words, lok does not express any sense of displacement. This is noted by Allott in her example thá-màng cà lok 'rice eat lok' in the context of a parent telling a child to eat up (eat away). With this example, she states that 'no connection is established between the location of the action and the speaker's location'.

There are some metaphorical uses that indicate the path that lok followed in developing metaphoric extensions from its deictic sense 'to follow':

- kálè ko %-lo má lok nái child OBJ desire NEG lok NEG 'Don't spoil the child' (from the more literal "Don't follow the desires of the child").
- 44. cit % -lo lok tay mind desire lok RLS '(He) indulges himself (from the more literal "to follow the mind's desires").

This explains the difference between Ca 'Eat!' and Ca lok which has a subtle additional sense of 'giving permission', translating into 'Go ahead and eat.' This can be used in a context where the speaker indicates to the addressee that he need not wait for him to eat. The same subtle difference can be seen in the examples below:

45. kyá-naw swà rá má-là I go GET QUES 'Must I go?'

(45) is a straightforward question, where there is no uncertainty in the speaker's mind as to the appropriateness of the action. However, with *lok*, it becomes more than simply a question. *Kyá-naw swà lok rá má-là* is used when there is some uncertainty in the mind of the speaker as to whether he should go. Depending on the context, the sentence could variously mean 'Would you like me to go?' or 'Do you think (it's a good idea that) I should go?'. In the metaphoric extensions of *lok*, the common theme is that of giving permission or agreeing, or of requesting confirmation when there is some uncertainty in the mind of the speaker. It can be argued that these metaphoric extensions have resulted from the use of *lok* in sentences meaning 'to follow one's mind, one's desires or inclinations'. It is when one is uncertain about the appropriateness of following a course of action that one asks for confirmation. In Burmese, *lok* subtly expresses this sense of uncertainty when a speaker asks for confirmation about a course of action to be undertaken.

To recapitulate, *lok* in the imperative indicates permission or agreement, generally translating into 'Go ahead and do something.' The imperative *lok* is also appropriate in contexts where the event in question takes place in a location different from the SAL, as in *thá-màng* cà *lok* pa 'Go ahead and eat (Don't wait for me).' When the speaker is present at the location of the event, there is a further metaphoric extension since the sense of spatial displacement is blocked; thus we have Allott's example of *thá-màng* cà *lok* in the context of a parent telling a child 'to eat up (eat away)'. Here, the deictic sense of *lok* meaning 'to go ahead and eat' is interpreted metaphorically to function as an exhortation. When there is no spatial displacement, the difference that *lok* makes is quite subtle. Without *lok*, the sentence below is a straightforward invitation:

46. cà pa ?ùM eat POL AUX 'Please eat.'

With lok, as in cà lok pa  $\hat{l}$ ùM, there are two uses: (i) when the speaker feels that the addressee is hesitant, which is similar to Allott's interpretation; and (ii) when the speaker wishes to indicate that the act of eating should be completed first, usually before turning to other matters. The English translation 'to go ahead and eat' would serve quite well for both uses, being the primitive from which metaphoric extensions are made.

Lok does not occur with stative verbs in declarative sentences, since verbs like  $u\dot{\alpha}$  'fat',  $lh\dot{\alpha}$  'pretty' and pu 'hot' do not involve the notion of spatial dislocation. However, there is an exclamatory construction with lok which is compatible with 'action' as well as 'stative' verbs:

47. thámàng cà lok tha rice eat **lok** NMLZ '(You) eat so much!'

In (47), it can be argued that the sense of 'eating a lot' is derived from the primitive sense of 'going ahead' from a deictic center, a metaphoric extension in the sense of 'following one's mind or inclination'. The same construction is used with a stative verb below:

48. ne wang da lhá lok tha sun enter NMLZ pretty lok NMLZ 'The sunset is so pretty!'

Khái, the counterpart of la, specifies motion towards a deictic center, which could be either the speaker or hearer. This deictic specification can be seen with motion verbs. In the sentence below, the deictic center is the speaker:

49. ngá chỉ kô lhrawk khái I at TO walk khái 'Walk towards me.'

With action verbs, the event occurs in a location different from that of the deictic center. In the sentence below, the deictic center is the hearer:

50. nga thámàng khyak khái tay I rice cook **khái** RLS 'I cooked rice (and came).'

With a third person agent, the deictic center is the speaker, as in the example below:

51. su piza cà khái tay she pizza eat **khái** RLS 'She ate pizza (before she came).'

In the examples above, the subject is displaced from the scene of the main verbal event towards a deictic center. In other words, *khái* indicates that

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someone did something somewhere prior to beginning the trajectory towards where the speaker and/or hearer would be. However, when *khái* is used with the verb *ne* 'stay', the meaning of *ne* does not allow its subject to be displaced:

52. meri yu-gyàng mha ne khái tay Mary Eugene LOC stay khái RLS 'Mary remained in Eugene (while I moved on).'

Although I have referred to  $kh\dot{a}i$  as the counterpart of la 'come', the examples below indicate their differences:

- 53. su làm lhrawk la tay she road walk come RLS 'She came walking.'
- 54. su làm lhrawk khái tay she road walk **khái** RLS 'She walked here.'

The difference is that only (53) can be used for the historical present. In contrast, (54) cannot be used for the historical present, since the sense of displacement that *khái* contributes has been metaphorically extended even further, to indicate the perfective aspect.

The perfective aspect is further illustrated in the example below:

55. su báma pri ko rawk khái tay she Burma country TO reach **khái** RLS 'She has been to Burma.'

In (55) above, the subject is no longer in Burma. The use of *khái* has displaced her from the scene of the main verbal event indicated by *rawk* 'reach'. In contrast, *la* does not cause the subject to be displaced from the scene of the main verbal event:

56. su báma pri ko rawk la tay she Burma country TO reach come RLS 'She arrived in/came to Burma' (to the speaker's surprise).<sup>5</sup>

<sup>&</sup>lt;sup>5</sup> Without a, the sentence su báma pri ko rawk tay means 'She reached/visited Burma.' The presence of a in (56) indicates that the speaker was not expecting her to come. Su di-né rawk tay simply means 'She arrived today.' and su di-né la tay simply means 'She came today.' But su di-né rawk la tay subtly indicates surprise on the part of the speaker, as if the person in question were to have come and 'arrived' against the expectations of the speaker.

## 4. Conclusion.

When the motion verbs *la* 'come' and *swà* 'go' grammaticalized into deictic auxiliaries, they developed beyond marking direction. However, the extent to which these semantic extensions have developed depends on the semantics of their interaction with various categories of verbs. The semantics of 'purely physical and perceptible' action verbs force *la* and *swà* to retain their full lexical meanings. With motion verbs that leave a trajectory, *la* and *swà* add a deictic specification. It is the category of stative verbs that allow *la* and *swà* to develop fully into the aspectual domain. Conceptualizing states, either physical or psychological, as locations has allowed *la*, whose trajectory is directed towards a location, to take on an aspect of 'progression towards completion', distinct from that of the 'progressive' *ne*. In the case of Burmese, I have categorized states into (i) those that undergo progression towards completion and (ii) those that lack this sense of progression, that is, they either exist or do not exist. This distinction is useful to show the difference between *la* and *swà*.

Swà, in contrast to *la*, indicates departure from a location. I have shown that in combination with action verbs, departure is subsequent to completion of the action. As with *la*, the meanings of stative verbs allow *swà* to develop beyond the specification of direction. Swà adds a perfective aspect when used with stative verbs, because departure from a state usually implies an end to that state. Since *swà* has this sense of completion, it is compatible with verbs that express compact, instantaneous events. On the other hand, compact, instantaneous events are incompatible with *la*, which has developed an aspect of progress towards completion.

Lok and khái are semantically similar to swà and la. The verb lok, meaning 'follow, accompany', indicates motion away from a deictic center. Although the auxiliary lok is the counterpart of swà, the semantics of lok have allowed it to metaphorically develop further than swà. With motion as well as action verbs, lok expresses the notion of 'going ahead and doing something', unlike swà, which largely retains its lexical meaning. With a ditransitive verb like pè 'give', lok displaces the direct object whereas swà displaces the subject.

The origin of *khái* is obscure and is not within the scope of this paper. However, its semantics have enabled it to fit into a paradigm, standing in opposition to *lok* and forming with it a pair that is the counterpart of *la* and *swà*. When the speaker is the subject, the auxiliary *khái* displaces the speaker towards the hearer, subsequent to the main verbal event. When the hearer is the verbal subject, the auxiliary *khái* displaces the hearer towards the speaker subsequent to the main verbal event. With the verb *rawk* 'reach', *khái* further displaces the subject subsequent to its 'reaching' a certain place, translating into 'has been to a certain place'.

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