

THREE TYPES OF CAUSATIVE CONSTRUCTIONS IN HAKHA LAI ¹

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1. INTRODUCTION

Hakha Lai (Chin) belongs to the Kuki-Chin branch of the Tibeto-Burman family. It is spoken in Hakha and Thantlang towns, and their vicinity (Chin State). Lai has predominantly SOV order.

There are three kinds of causative constructions in this language, which I call *s-* causatives, *-ʔ* causatives, and *-ter* causatives.

S- causatives are characterized by devoicing or aspiration of the stem-initial consonant, as shown in (1)²:

(1)	<i>Simplex</i> ³			<i>s- Causative</i>		
	<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>	<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>
a.	káaŋ	kaŋ?	‘burn’ (int)	kháaŋ	khaŋ?	‘burn’ (tr)
b.	mit	mi?	‘go out’(light)	hmit	hmi?	‘extinguish’
c.	lāw	law?	‘disappear’	hlāw	hlaw?	‘erase’
d.	rīl	ri?	‘roll’ (int)	hrīl	hri?	‘roll’ (tr)
e.	rook	ro?	‘break down’	hrook	hro?	‘destroy’
f.	tsat	tsa?	‘be severed’	tshat	tsha?	‘sever’ (tr)
g.	trùm	trúm	‘descend’	thrúm	thrum?	‘put down’ (tr)

The second type of causative construction involves a stem-final glottal stop, i.e. *-ʔ* causatives. Examples are given in (2):

¹ I would like to thank Andreas Kathol, Jim Matisoff, Paul Kay, David Peterson, and other friends and colleagues for their advice and suggestions. A preliminary version of this paper was presented at SEALS IX (Bedell and VanBik 2000), and submitted as a Qualifying Paper, UCB 2000. Any mistakes are mine.

² For a brief summary of the Form I / Form II distinction in Lai, see section 2 below. For a full discussion of phonological alternations between Form I and Form II in Lai, see Melnik (1998); for the syntactic distribution of Form I and Form II, see Kathol and VanBik (2002); and for Tone in Lai, see Hyman and VanBik (2002a,b).

³ Following Matisoff (1976), I use the terms 'simplex' and 'causative' to differentiate the non-causative/causative pairs.

(2) *Simplex**-ʔ Causative*

	<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>		<i>Gloss</i>
a.	dām	dám	'be healthy'	damʔ	'heal' (tr)
b.	raŋ	rán	'be fast'	ranʔ	'rush' (tr)
c.	thlùm	thlúm	'sweet'	thlumʔ	'sweeten'
d.	niam	níam	'short'	niamʔ	'shorten'
e.	sàaŋ	sáaŋ	'high'	sanʔ	'make higher'
f.	saaw	sáaw	'long'	sawʔ	'make longer'
g.	tooy	tóoy	'short'	toyʔ	'shorten'

The third type, *-ter* causative, is formed by suffixing the bound morpheme *-ter* to the verbs, as shown in (3):

(3) *Simplex**-ter Causative*

	<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>		<i>Gloss</i>
a.	káaŋ	kaŋʔ	'burn' (int)	kaŋʔ-tèr	'cause to burn'
b.	miŋ	miʔ	'go out' (light)	miʔ-tèr	'cause to extinguish'
c.	lów	lowʔ	'disappear'	lowʔ-tèr	'cause to disappear'
d.	ríl	rilʔ	'roll' (int)	rilʔ-tèr	'cause to roll'
e.	rook	roʔ	'break down'	roʔ-tèr	'cause to break down'
f.	tsat	tsaʔ	'be severed'	tsaʔ-tèr	'cause to split'
g.	tlaa t	laak	'fall'	tlaak-tèr	'cause to fall'

Prima facie, the three causative constructions of Lai in (1-3) look similar, in that they all are transitive, involve causative meaning, and appear to be systematically related to non-causative verbs as illustrated in (4-6):

(4) a. *SIMPLEX*

Boo-lún ʔa-ríl.
 football 3SG.S-roll.I (int)
 'The football rolled.'

b. *s- CAUSATIVE*

Boo-lún ka-hrílʔ.
 football 1SG.S-roll.II (tr)
 'I rolled the football.'

(5) a. *SIMPLEX*

Na-tùŋ ʔa-sàaŋ.
 2SG.POS-height 3SG.S-high.I
 'You are tall.' [Lit. 'Your height is high.']

b. *-ʔ CAUSATIVE*

Na-tùŋ ka'n-sanʔ làay.
 2SG.POS-height 1SG.S-2SG.O-heighten.INV FUT
 'I will make you taller.' [Lit. 'I will heighten your height.']

(6) a. SIMPLEX

Boo-lún ?a-ríl.
 football 3SG.S-roll.I (int)
 'The football rolled.'

b. -TER CAUSATIVE

Boo-lún ka-ríl?-ter.
 football 1SG.S-roll.II-CAUS
 'I caused the football to roll.'

However, we will see that *s*-causative and *-?*causative would best be labeled as morphologically regular but unpredictable lexical causatives, while *-ter* causative constitutes a completely productive morphological causative.

In order to describe the causative constructions in Lai adequately, it is essential to have a brief summary of Form I / II verbal morphology, because this morphology plays an important role in every aspect of Lai syntax, including causative constructions.

2. VERBAL FORM ALTERNATION IN LAI

2.1. Form I and Form II Alternation

The examples in (1) above illustrate a morphological alternation which has been called Form I vs. Form II (Patent 1997). This alternation is arguably not linked in any straightforward way to a single parameter of variation such as tense, aspect, or transitivity. Instead the alternation appears to be conditioned by a number of lexical and constructional distinctions which may interact with each other.

In affirmative declarative root clauses, the basic pattern is that intransitive verbs exhibit Form I morphology as shown in (7A) whereas transitive verbs exhibit Form II morphology as illustrated in (7B)⁴:

(7A) INTRANSITIVE

- a. Ni Hu ?a-tlii.
 Ni Hu 3SG.S-run.I
 'Ni Hu ran.'
- b. Ka-pàa ?a-?it.
 1SG.POS-father 3SG.S-sleep.I
 'My father slept.'

⁴ Under certain conditions, a notionally transitive verb can occur with Form I even in affirmative root clauses. However, as Bickel (2000:9) notes, there are constructions that are notionally transitive but nevertheless count as intransitive from the perspective of the grammar.

(7B) TRANSITIVE

- a. Ni Hu ni? vok ?a-tha?
 Ni Hu ERG pig 3SG.S-kill.II
 'Ni Hu killed the pig.'
- b. Ka-nùu ni? ròol ?a-tshúan.
 1SG.POS-mother ERG food 3SG.S-cook.II
 'My mother cooked a meal.'

There are some overriding factors in the syntax of Form I and Form II alternation. The presence of negative marker *láv*, imperative marker *tua?*, or yes/no question marker *máa* uniformly require Form I morphology regardless of the (in)transitivity of the verb, as shown in (8):

(8) a. NEGATIVE

Ni Hu ni? vok ?a-that láw.
 Ni Hu ERG pig 3SG.S-kill.I NEG
 'Ni Hu did not kill the pig.'

b. IMPERATIVE

ròol tshúan tua? !
 food cook.I IMP
 '(Please) cook a meal!'

c. Yes/No QUESTION

Ni Hu ni? vok ?a-that máa ?
 Ni Hu ERG pig 3SG.S-kill.I QST
 'Did Ni Hu kill the pig?'

In adverbial subordinate clauses, Form II morphology is required. This construction overrides any Form I requirements stemming from the status of the verbs as intransitive. Cf. (9):

(9) SUBORDINATE

- a. Ni Hu ni? vok ?a-tha? láw tik-?a?...
 Ni Hu ERG pig 3SG.S-kill.II NEG when
 'When Ni Hu did not kill the pig...'
- b. Ni Hu ?a-tliik láw tsàa-?a?...
 Ni Hu 3SG.S-run.II NEG because
 'Because Ni Hu did not run...'

- c. Ka-pàa ?a-?i? hnuu-?a?...
 1SG.POS-father 3SG.S-sleep.II after
 'After my father slept...'

2.2. Invariant (Transitive)

Some Lai verbs have a third variant in addition to Form I and Form II. These verbs normally have final glottal stop as illustrated in (10).

(10)	Form I	Form II	Gloss	Invariant	Gloss
a.	dìn	dín	'drink'	din?	'give to drink'
b.	tshuàŋ	tshúan	'cook'	tshuan?	'cook for someone'
c.	tshím	tshím	'say'	tshim?	'tell someone'
d.	tlin	tlin	'full'	tlin?	'fill' (tr)

These verbs are called invariant (transitive) verbs, because they are almost always transitive and they do not display any alternation in form when they occur in syntactic constructions that require Form I (11a) or Form II (11b), respectively:

(11) a. NEGATIVE

Ni Hu ni? vok tìi ?a-din? lów.
 Ni Hu ERG pig water 3SG.S-give drink.INV NEG
 'Ni Hu did not give drink to the pig.'

- b. Ka-?üu ni? ròol ?a-ka-tshuan? tik-?a?...
 1SG.POS.-brother ERG food 3SG.S-1SG.O-cook for.INV when
 'When my brother cooked me a meal...'

Sometimes, the invariant transitive form is identical to the Form II of the related simplex verb, as shown in (12):

(12)	Form I	Form II	Inv. (Tran.)		
a.	khək	khe?	'peel off'	khə?	'peel for'
b.	pee	peek	'give' (tr)	pek ⁵	'give' (ditr)

In (12a) the phonological form *khe?* (form II) and *khe?* (inv.) are the same. But if we compare the argument structure of (13) and (14), we see that *khe?* in (13) is Form II whereas *khe?* in (14) is an invariant (transitive) form.

(13) FORM II

Ka-fār ni? thày ?a-khe?
 1SG.POS-sister ERG fruit 3SG.S-peel.II
 'My sister peels (the skin of) the fruit.'

⁵ When the final consonant is an oral stop in Form II, there is no -? in the corresponding invariant transitive.

(14) INVARIANT

Ka-fār nǐ? thày ʔa-ka-kheʔ.
 1SG.POS-sister ERG fruit 3SG.S-1SG.O-peel for.INV
 'My sister peels (the skin of) the fruit for me'.

3. CAUSATIVE CONSTRUCTIONS IN LAI

3.1. *S- Causatives*

In (1) it has been shown that the *s-* causative involves devoicing or aspiration of the initial stem. Although this morphological operation is a regular process, it does not apply productively in Lai. For instance, it is not possible to devoice or aspirate the initial consonant of just any verb (which may be a viable candidate), and get the causative meaning, as shown in (15):

(15)	<i>Simplex</i>		<i>Devoicing / Aspiration</i>	
	<i>Form I</i>	<i>Form II</i>	<i>Form I</i>	<i>Form II</i>
a.	pǎn	pán 'thin'	*phǎn	*phán 'make thin'
b.	rǐŋ	rín 'loud'	*hrǐŋ	*hrín 'make loud'

In the syntax of *s-* causative in Lai, the simplex verb always needs to be intransitive. There is no transitive verb with a corresponding *s-* causative variant (for the full list, see the Appendix). (16) gives such examples of impossible forms.

(16)	<i>Simplex</i>		<i>Devoicing / Aspiration</i>	
	<i>Form I</i>	<i>Form II</i>	<i>Form I</i>	<i>Form II</i>
a.	tǎn	tán 'cut' (tr)	*thǎn	*thán 'cause to cut'
b.	lǐm	lúm 'finish' (tr)	*hlǐm	*hlúm 'cause to finish'
c.	nǎm	nám 'push' (tr)	*hnǎm	*hnám 'cause to push'

Semantically, *s-* causative is always interpreted in terms of 'direct causation', i.e., the agent is construed as directly responsible for bringing about the described event, as shown in (17b):

- (17) a. mǎy ʔa-mit mǎa ?
 fire 3SG.S-go out.I QST
 'Did the light go out?'
 b. mǎy na-hmit mǎa ?
 fire 3SG.S-extinguish.I QST
 'Did you extinguish the light?'

In (17b) the gloss could not be 'did you let the light go out' nor 'did you cause the light to go out', e.g. by asking someone else to flip the light switch. The agent (here 'you') is required to be personally involved in the act of extinguishing the light.

Lai *s*-causative poses some problem in the typology of causative constructions, i.e., whether it should be classified as a 'lexical causative' or a 'morphological causative'. The Lai *s*-causative involves the regular morphological operation of initial aspiration for the causative counterpart of the simplex verb, yet still acts like 'lexical causatives', as exemplified in (18b-c):⁶

- (18) a. Ni Hu ni? vok ?a-**tha?**
 Ni Hu ERG pig 3SG.S-kill.II
 'Ni Hu killed a pig.'
- b. Ni Hu ni? thîŋ-ŋee ?a-**khia?**
 Ni Hu ERG wood-branch 3SG.S-break.II
 'Ni Hu broke a branch of a tree.'
- (18) c. Ni Hu ni? vok ?a-**thlaak.**
 Ni Hu ERG pig SG.S-drop.II
 'Ni Hu dropped a pig.'

The verb *that / tha?* 'kill'⁷ in (18a) is a lexical causative verb because the notion of causation ('cause to die') is already contained in the lexical meaning of the verb itself (Whaley 1997:195; Payne 1997:178). The sentence such as *Ni Hu killed the pig but he was not there when it happened* is an anomaly (cf. 19). Such a sentence has to be expressed by a combination of the verb *thii / thi?* 'die' with *-ter* causative suffix (cf. 20).

- (19) *Ni Hu ni? vok ?a-**tha?**, ?a-si-naan,
 Ni Hu ERG pig 3SG.S-kill.II 3SG.S-COP-although
 tsuu-liaw-?a? ?a-ma? Ni Hu ?a-?ùm lăw.
 at that time he Ni Hu 3SG.S-exist.I NEG
 'Ni Hu killed the pig but he was not there when it happened.'

⁶ The correspondences between the causative verbs and their simplex counterparts are shown below:

	Simplex			Causative		
	Form I	Form II		Form I	Form II	
a.	kiak	kia?	'break' (int)	khiak	khia?	'break' (tr)
b.	tláa	tlaak	'fall' (int)	thláa	thlaak	'fell' (tr)

⁷ The verb *kill* is a lexical causative in many other languages (Goddard 1998:281).

- (20) Ni Hu ni? vok ʔa-**thiʔ-ter**, ʔa-si-naan,
 Ni Hu ERG pig 3SG.S-die.II-CAUS 3SG.S-COP-although
 tsuu-liaw-ʔa? ʔa-ma? Ni Hu ʔa-ʔùm láw.
 at that time he Ni Hu 3SG.S-exist.I NEG

‘Ni Hu caused the pig to die, but he was not there when it happened.’

Comparison of the syntactic characteristics and semantic behavior of the lexical causative *that/tha?* ‘to kill’ and the causative variants, *khiak/khia?* ‘to break’ as well as *thlaa/thlaak* ‘to drop’ shows that the causative verbs *break* and *drop* behave like the lexical causative *kill*. In (18b-c), the causative verbs *khiak/khia?* ‘break’ (tr), *thlāa/thlaak* ‘drop’ (tr), which are morphologically derived from the intransitive verbs *kiak/kia?* ‘break’ (int), *tlāa/tlaak* ‘fall’ (int) are syntactically similar to the verb *that/tha?* ‘kill’ of (18a), in that they all have two arguments in their syntax. They are also semantically similar, in that they need to be interpreted as involving direct causation. In (18a-c) it is not possible to interpret the agent (here Ni Hu) as merely permitting or indirectly causing the causee to be affected by the described event, but he is required to be directly involved in bringing about the described event. It appears that the simplex counterparts of *s-* causative verbs are generally non-stative verbs (cf. the Appendix).

3.1.1. *Lai S- Causative and the Proto-Tibeto-Burman Sibilant Prefix*

It is considered an established fact that in many languages of the Tibeto-Burman family, “there is convincing evidence for a Proto-Tibeto Burman sibilant prefix, **s-*, that functioned along a broad spectrum in the causative domain as an intensifier, directionalizer, transitivizer, causativizer of the verbal idea” (Matisoff 1976:415). Matisoff cites evidence for the old sibilant prefix in Written Tibetan as exhibited in (21):

- | | | |
|------|------------------------------|-------------------------------------|
| (21) | <i>Simplex</i> | <i>Causative</i> |
| a. | gril-ba ‘be twisted’ | sgril-ba ‘wind; wrap around’ |
| b. | khor-ba ‘turn around’ | skor-ba ‘surround something’ |
| c. | riŋ-ba ‘be long’ | sriŋ-ba ‘extend, stretch’ |

In Jingphaw (Kachin), this sibilant causative prefix has palatalized to *šə-*, varying with *džə-* before an aspirated or sibilant root-initial (Matisoff, *ibid.*), as shown in (22):

- | | | |
|------|------------------------|--|
| (22) | <i>Simplex</i> | <i>Causative</i> |
| a. | dam ‘stray’ | šə-dam ‘lead astray’ |
| b. | lot ‘free’ | šə-lot ‘set free’ |
| c. | thum ‘be ended’ | džə-thum ‘end something’ |
| d. | hprɪŋ ‘be full’ | džə-hprɪŋ ‘fill something’ |
| e. | su ‘be awake’ | džə-su ‘arouse, awaken someone’ |

In Burmese the remnant of the sibilant causative prefix *s- has given rise to causatives just as in Lai, i.e., by devoicing or aspiration of the initial stem of a subset of intransitive verbs as illustrated in (23).⁸

(23)	<i>Simplex</i>		<i>Causative</i>
a.	kye 'be ground fine'	khye	'grind up'
b.	kyak 'be cooked'	khak	'cook'
c.	nwê 'be warm'	hnwê	'warm up, heat'
d.	nim 'be short'	hnim	'shorten'
e.	po 'appear'	pho	'reveal'
f.	tswat 'be damp'	tshwat	'moisten, make damp'

In Lahu, the trace of the sibilant causative prefix *s- is seen with only about a dozen verbs. Matisoff states that in Lahu "those verb-pairs fall into both voiced/voiceless and several well-defined tonal categories" (Matisoff, 1973/1982:32ff) as shown in (24):

(24)	a. <i>Simplex</i>	<i>Causative</i>
	/ ˘ /	/ mid /
	dò 'drink'	to 'give to drink'
	dê 'come to rest'	te 'put down'
	mò 'see'	mo 'show'
	jò 'study'	co 'train'
	b. <i>Simplex</i>	<i>Causative</i>
	/ ˘ /	/ - /
	câ 'eat'	cā 'feed'
	nô 'be awake'	nō 'awaken, rouse'
	dû 'dig'	tû 'bury (as a corpse)'
	c. <i>Simplex</i>	<i>Causative</i>
	/ ˘ ? /	/ ' /
	lê? 'lick, eat'	lé 'feed an animal'
	vâ? 'wear'	fí 'clothe, dress someone'
	vâ? 'hide (oneself)'	fā 'hide something'
	tò? 'catch fire'	tú 'set fire, kindle'
	yâ? 'sleep'	í 'put to sleep'

It appears that many Tibeto-Burman languages have maintained the PTB sibilant causative prefix *s- in one morphological form or another. Based on the evidence of modern vernacular languages and Written Tibetan, Proto-Tibeto-Burman must have had a regular process of forming causative verbs

⁸ For the full list, see Okell 1969, Vol. I, pp. 205-8.

from simplex ones by adding *s-* prefix (Matisoff 1976:32). In modern Tibeto-Burman languages (including Modern Tibetan), however, that sibilant causative prefix **s-* has lost productivity or generality. Song (1996:83) notes that verbs which underwent that kind of morphological process are prone to lexicalization, in the same way as verbs reflecting any other derivational affixes, especially causative ones. As can be expected from Song's observation, that old fossilized process created the *s*-causative verb-pairs in Lai.

3.2. -ʔ Causative

As illustrated in (2) above, a subclass of intransitive verbs acquires causative meaning by suffixing -ʔ.

Morphologically, -ʔ causatives are similar to *s-* causatives, i.e., they are regular but not very productive. It is not always possible to get a causative reading in the formation of invariant transitive verbs (which are viable candidates) as exemplified in (25):

(25)	<i>Simplex</i>		<i>-ʔ Causatives</i>		
	<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>		<i>Gloss</i>
a.	phìŋ	phín	'swell'	*phínʔ	'make swell'
b.	hrìŋ	hrín	'green'	*hrínʔ	'make green'
c.	pum	púm	'round'	*pumʔ	'make round'
d.	íeŋ	íeŋ	'yellow'	*íeŋʔ	'make yellow'
e.	sèn	sén	'red'	*sénʔ	'make red'

Another point of similarity with *s-* causatives, is the fact that only when the simplex form is intransitive can -ʔ causatives be formed. In some cases, when the simplex form is transitive, adding the -ʔ suffix gives rise to a benefactive argument, rather than a causee, as in (26).

- (26) ka-ʔùu níʔ ròol ʔa-ka-tshuanʔ.⁹
 1SG.POS-brother ERG food 3SG.S-1SG.O-cook for.INV
 'My brother cooked a meal for me.'

Semantically, the -ʔ causative normally signals direct causation, similarly to other lexical causative verbs such as *that* / *thaʔ* 'kill', as illustrated in (27a-b):

- (27) a. ka-ʔùu níʔ ʔaar ʔa-thaʔ.
 1SG.POS-brother ERG chicken 3SG.S-kill.II
 'My brother killed the chicken.'

⁹ The verb *tshuanʔ* 'cook for' is not identical either to Form I *tsùanʔ* or Form II *tshúan* of the related verb, meaning 'cook'.

- b. ka-ʔuu niʔ ʔaar-zóo ʔa-damʔ.
 1SG.POS-brother ERG chicken-sick 3SG.S-heal.INV
 'My brother healed the sick chicken.'

The simplex counterparts of *-ʔ* causative verbs are stative verbs. In Lai, the verbs listed in (2) above are stative. For instance, *sàaŋ/sáaŋ* 'high' or *nìam/níam* 'short' are stative verbs because they describe a constant state of affairs. In (28a) below, the intransitive verb *nìam/níam* 'short' expresses the state of the person described as being short. The fact that (28a) could not be an answer to a question such as "what happened?" shows that *nìam/níam* 'short' is a stative verb in Lai.

(28) a. **SIMPLEX**

na-kháan ʔa-nìam.
 2SG.POS-stature 3SG.S-short.I
 'You are short.' [Lit. 'Your stature is short.']

b. ***-ʔ* CAUSATIVE**

na-kháan ka-n-niamʔ làay.
 2S.POS-stature 1SG.S-2SG.O-shorten.INV FUT
 'I will make you short.' [Lit. 'I will shorten your stature.']

3.2.1. *-ʔ* Causative and the Proto-Tibeto-Burman *-s Suffix

There are several instances where well attested PTB etyma with **-s* correspond to Lai *-ʔ*:

(29)	PTB	Lai	Gloss	STC (Benedict 1972)
a.	*g-nis ~ *g-ni-s	hniʔ	'two'	#4
b.	*rus	ruʔ	'bone'	#6
c.	*r-tas	tshaʔ	'thick'	#426
d.	*hus	huʔ	'wet'	p. 17
e.	*ras	raʔ	'fruit'	p. 17
f.	*was	khàay-vaʔ	'bee'	p. 17

It seems possible that the Lai *-ʔ* causative can be linked to the PTB suffix **-s* which still functions as a causative marker in modern Kiranti languages (Ebert 2000:5). Ebert notes that most Kiranti languages have a few verbs allowing a secondary causative/applicative, as exemplified by Bantawa in (30):

(30)	Simplex		Causative		Applicative	
a.	<i>i</i>	'laugh'	<i>is</i>	'make laugh'	<i>itt</i>	'laugh at'
b.	<i>par</i>	'shout'	<i>pays</i>	'make shout'	<i>patt</i>	'shout at'

The fact that the *-ʔ* suffix in Lai can occur with either a causative (cf. 2) or an applicative meaning (cf. 26) supports the idea that the *-ʔ* causative derives from the PTB **-s* suffix.

3.3. *-Ter Causative*

The Lai *-ter* causative fits the definition of a morphological causative (cf. Whaley 1997:195), because it is regular and productive morphologically, in that it can be suffixed to any verb to express causation or permission/request, as illustrated in (31-32):

(31) CAUSATIVE

ka-lùŋ ʔa-ka-roʔ-tèr.
1SG.POS-heart 3SG.S-1SG.O-break down.II-CAUS
'He made me disappointed' (Lit. 'He causes my heart to break down')¹⁰.

(32) PERMISSION / REQUEST

ʔa-ka-kál-tèr.
3SG.S-1SG.O-go.II-CAUS
'He let me go/He asked me to go.'

The *-ter* causative is fully productive, because even the copula *sii* (cf. 33), as well as many recent loanwords from Burmese such as *phii/phiiit* 'to answer' (cf. 34), can undergo *-ter* suffixation with the expected semantic result.

(33) zùu loŋ - loŋ nǐʔ mi-sùal ʔa-kan-sǐi-tèr láw.
beer only only ERG person-bad 3SG.S-IPL.O-COP.II-CAUS NEG
'Beer alone does not cause us to be [become] bad people.'

(34) sazàa nǐʔ ca-mín-púay ʔa-kan-**phiiit**-ter.
teacher ERG letter-ask-festival 3SG.S-IPL.O-answer.II-CAUS
'The teacher asked us to sit for the examination.'
[Lit. 'The teacher caused us to answer questions at the letter-asking festival.']

The causative suffix *-ter* combines with Form II of verbs. The result of this combination is a 'frozen' morphology, because the resulting form is immune to any construction-specific alternation in form seen above in section 2.1. For instance, in Lai relative clauses, the relative marker *tuu* is required to go with Form I verbs, and does not allow relativization of non-subjects (Kathol and VanBik 1999:428). However, even in a *tuu* relative clause, the *-ter* causatives combine with a Form II verb as in (35a):

¹⁰ For a discussion of this kind of psycho-collocation in Lai, see VanBik 1998.

- (35b) is ungrammatical, because it violates a morphological rule that the *-ter* causative suffix combines with Form II morphology of the verbs. The verb *pee* 'give' in (35b) is in Form I morphology. This phenomenon of the *-ter* causative having to occur with Form II verbs could be accounted for in terms of the structural differences between underived verbs (36a) and morphologically complex ones, involving stem-final alternation (36b):¹¹

- (36a) represents underived verbs with Form I / II morphological alternation where the choice of form is determined by lexical and/or constructional factors (see section 2.1). (36b) represents derived verbs where Form II occurs inside a morphologically complex verb in which the stem verb is ‘shielded’ from any form alternation.

When *-ter* is suffixed to invariant transitive verbs (cf. 37c), *s-* causative verbs (cf. 37d), and *-ʔ* causative verbs (cf. 37e), there is another level of valence increase, as shown below:

- (37) a. Ka-nùu ni? ròol ʔa-tshúan.
1SG.POS-mother ERG food 3 SG.S-cook.II
'My mother cooked a meal.'
- b. Ka- nùu ni? ròol ʔa-ka-tshúan-tèr.
1SG.POS-mother ERG food 3 SG.S-cook.II-CAUS
'My mother let me cook a meal.'
- c. Ka- nùu ni? ròol ʔa-ka-tshuanʔ-tèr.
1SG.POS-mother ERG food 3SG.S-cook for.INV-CAUS
'My mother let me cook a meal for him.'
- d. Ka- nùu ni? hrìi ʔa-ka-tshaʔ-tèr.
1SG.POS-mother ERG rope 3SG.S-sever.II-CAUS
'My mother let me sever the rope.'

¹¹ This excludes derived forms which involve stem-initial alternation, i.e., *s*-causatives. When there is *s*-causative's morphological complex at the stem-initial position, there is still room for the stem-final to alternate.

- e. Ka- nùu ni? ʔaar-zóo ʔa-ka-damʔ-tèr.
 1SG.POS-mother ERG chicken-sick 3SG.S-heal.INV-CAUS
 'My mother let me heal the sick chicken.'

The syntactic effect of *-ter* causatives, i.e., their addition of a syntactic argument (Payne 1977:186ff) is similar to that provided by applicative markers such as *-piak* 'benefactive', *-pii* 'comitative', *-taak* 'relinquitive', *-hnoʔ* 'malefactive', *-kanʔ* 'prioritive', and *-naak* 'instrumental', as exemplified in (38):¹²

- (38) Hakha-ʔaʔ ʔa-ka-kal-piak-mii ka-philʔ.
 Hakha-LOC 3SG.S-1SG.O-go.II-BENEF-REL 1SG.S-forget.INV.
 'I forgot that he went to Hakha for me.'

In some contexts we can get a deontic interpretation for the *-ter* causative, i.e., in terms of expressing an obligation that the subject places on the causee, as illustrated in (39):

- (39) Hakha ʔaʔ ʔa-ka-kál-tèr-mii ka-philʔ.
 Hakha-LOC 3SG.S-1SG.O-go.II-CAUS-REL 1SG.S-forget.INV.
 'I forgot that he asked me to go to Hakha.'

In (39) the deontic interpretation is the most natural one. A causative or permissive interpretation that 'I forgot that he let/caused me to go to Hakha' would require further specific background.

3.3.1. *Lai ter- Causative and Reflexive Marking*

Smith (1998:45f) discussed an interesting use of reflexive marking together with the *-ter* causative marker. She generally uses the term "middle voice" to describe phenomena involving reflexive marking in Lai. I prefer the term 'reflexive marking' to focus on the morphological identity of the formative involved, and avoid any unwarranted semantic connotations that the term "middle voice" would imply.

Smith states that "sentences with both a middle and causative marker are ambiguous without a context. They can mean either 'X let Y do something to X' or 'X really does something to Y, or pretends to do something to Y, as a pretext in order to mislead somebody' as in (40):

- (40) Ceu Mang ni? Ni Hu khàa ʔaa-velʔ-tèr.
 Ceu Mang ERG Ni Hu TOP 3SG.RFL-beat up.II-CAUS
 (a) 'Ceu Mang let himself be beaten by Ni Hu.'
 (b) 'Ceu Mang pretended to beat Ni Hu.'

¹² For a detailed analysis of applicatives in Lai, see Peterson 1998.

Smith's representation of sentence (40) is either that 'Ceu Mang let himself be beaten by Ni Hu' as in (40a), or 'Ceu Mang pretended to beat Ni Hu', in order, for example, to divert attention from another situation, as in (40b). Smith represents the semantics of examples (40a) and (40b) schematically as in Figure 1 (Smith 1998:46):

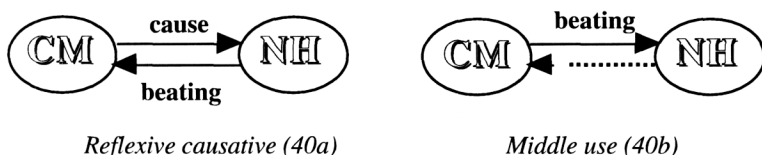


Figure 1.

Therefore (40a) is "a simple use of the reflexive together with a causative, in which Ceu Mang is the causer and affectee, while example (40b) is some kind of middle, where the subject carries out the action in such a way that the result of the action indirectly affects himself (e.g., he wants people to think his primary action is 'beating'). Thus Ceu Mang can be seen as both the initiator and endpoint of the action" (Smith 1998:55).

While Smith's interpretation of (40) is correct, it is incomplete, because it does not cover the full range of data. It is possible to get a third reading for (40), as illustrated in (41b):

- (41) Ceu Mang ni? Ni Hu khàa ?aa-vel?-tèr.
 Ceu Mang ERG Ni Hu DEIC 3SG.RFL-beat up.II-CAUS

- a. CAUSER CAUSEE LOWER OBJECT
 Ceu Mang_i Ni Hu_j Self_i - V -ter
 'Ceu Mang let Ni Hu beat him (self, i.e. Ceu Mang).'
- b. CAUSER CAUSEE LOWER OBJECT
 Ceu Mang_i Ni Hu_j Self_j - V -ter
 'Ceu Mang let Ni Hu beat himself (i.e. Ni Hu).'
- c. CAUSER LOWER OBJECT CAUSEE
 Ceu Mang_i Ni Hu_j Self_i - V -ter
 [Lit. Ceu Mang let himself beat Ni Hu]
 'Ceu Mang pretends to beat Ni Hu.'

In (41c) when the causer *Ceu Mang* is co-indexed with the reflexive pronoun *ʔaa* as a causee, Ni Hu is interpreted as the lower object. In such a case, the semantics of ‘pretend to V’ is added to the interpretation.

Given that (41c) is possible, i.e., the causer can be co-indexed with the reflexive pronoun causee, and not necessarily the lower object, it is important to note here why (42) below is impossible.

- (42) CAUSER LOWER OBJECT CAUSEE
 **Ceu Mangi* *Ni Huj* *Selfj* - Verb - *ter*

Note that the difference between (41b) and (42) is that *Ni Hu* is the causee in (41b) whereas he is the lower object in (42). The explanation for the impossibility of (42) is that it violates a common hierarchy among grammatical functions with respect to binding possibilities, i.e., CAUSER > CAUSEE > LOWER OBJECT (cf. Van Valin 2001:46). That is, a reflexive element can take an element to its left as its antecedent but not vice versa. (43b) illustrates how this hierarchy rules out a construal in which the lower object is an antecedent for the causee:

- (43) a. CAUSER CAUSEE LOWER OBJECT
 I make *Bob_i* wash *himself_j*.
 b. CAUSER CAUSEE LOWER OBJECT
 *I make *himself_j* wash *Bob_i*.

The combination of reflexive marking and the *-ter* causative with the semantic result of ‘pretend to V’ is idiomatic, in that there is an added meaning which is not predictable on the basis of what the component parts (*-ter*, reflexive, verb) mean in other contexts. It is also noteworthy that there is no monomorphemic verb ‘to pretend’ in the Lai lexicon. The verb ‘to pretend’ in Lai is *tii-ter*, a combination of *tii* ‘do, say’ and the *-ter* causative, as in (44):

- (44) *ʔaa-tʔi-tèr.*
 3SG.RFL-do.INV-CAUS
 ‘He is pretending.’

Smith also notes that “if intransitive verbs or verbs of one-participant events are used with a middle and causative marker, then the sentence only means ‘X pretends to do something’”, as in (45):

- (45) *ʔan-ʔii-thiʔ-tèr.*
 3PL-RFL-die.II-CAUS
 ‘They pretended to be dead.’

Given the right context, however, intransitives with the “middle voice nucleus” are still ambiguous between cause/permission vs. pretense reading, as illustrated in (46a-b):

- (46) ʔàn-báa tuk tsàŋ naan ʔàn-ʔii-tliik-ter thriam-thriam.
 3PL.S-tire.I very PERF although 3PL.S-RFL-run.II-CAUS still-yet
- a. ‘Although they are very tired, they still make themselves run.’
 b. ‘Although they are very tired, they still pretend to run.’

It turns out that the Lai ‘pretense reading’ of *middle verb* + *ter* is the result of merger between the Proto-Central-Chin causative suffix **-tiir* and the verbal particle **der* ‘to pretend to VERB’. In Mizo, the causative suffix is *-tiir* (cf. 47a) whose function is identical to the Lai causative suffix *-ter* (47b):

- (47) a. Mizo (Chhangte 1993:101)

kâ-pàa-in keel mín-veen-tiir
 1POS.-father-ERG goat 1O-watch2-CAUS
 ‘My father made me watch the goats.’

- b. Lai

ka-pàa-ni? mehe? ʔa-ka-tsonʔ-tèr
 1POS.-father-ERG goat 3SG.S-1SG.O-watch.II-CAUS
 ‘My father made me watch the goats.’

In addition, the function of the Mizo verbal particle *der* ‘to pretend to VERB’ (48a) is almost identical with the Lai causative suffix *-ter* (48b):

- (48) a. Mizo (Lorrain 1940: dēr, *adv.* ‘falsely’)

Mi fak der ‘They pretended to praise me.’
 Mi zah der ‘They pretended to reverence me.’

- b. Lai

ʔàn-ʔii-fak-tèr
 3PP-RFL-praise.INV-CAUS
 ‘They pretended to praise him’

The examples in (47-48) clearly demonstrate that the Lai ‘pretense reading’ of *middle* + *-ter* is the result of a grammatical fusion between the Proto-Central-Chin causative suffix **-tiir* and the verbal particle *der* ‘to pretend to VERB’.

4. COMPARISON OF *S*-, *-ʔ*, AND *-TER* CAUSATIVES.

4.1. Common Properties

There are a number of properties that the three types of causatives in Lai have in common.

Morphologically, all of them are regular, i.e., given any simplex stem, one can predict what its causative counterpart will look like, if it exists (cf. 1-3).

Syntactically, they all have a valence-increasing effect (cf.4-6).

4.2. Distinctive Properties

The three Lai causatives have distinctive morphological, syntactic, and semantic properties.

Morphologically, the *s*-causative and *-ʔ*causative are not productive whereas the *-ter* causative is fully productive.

In their syntax, the simplex of the *s*- causative or the *-ʔ* causative needs to be intransitive in order for their corresponding complex forms to be causative, whereas the *-ter* causative can combine with any verb, and have the expected result.

Semantically, *s*- causatives and *-ʔ* causatives are always interpreted in terms of direct causation, i.e., the agent is construed as directly responsible for bringing about the described event (cf.17b, 28b), whereas *-ter* causatives cover a variety of indirect causation types (cf. 31-34). The simplex counterparts of *s*-causative verbs are generally non-stative verbs (cf. the Appendix), whereas the simplex counterparts of *-ʔ*causative verbs are stative verbs (cf. 2) which can be captured by the type hierarchy, as in Figure II:

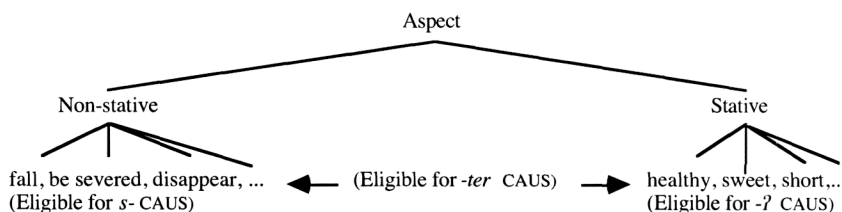


Figure II. Type hierarchy of Lai verbs

Haiman's iconicity pyramid (Haiman 1983, see Table (I) below) claims that it is possible to make predictions about which of two or more competing types will be used to describe direct and indirect causation when a language has more than one formal kind of causative (Whaley 1997:195).

Type of Causative	Form	Causation
LEXICAL	(X - "smaller")	More direct
MORPHOLOGICAL	(Y-Z)	
ANALYTIC	(YZ - "larger")	Less direct

Table I.

As illustrated in Table I, "if a language has more than one formal kind of causative, the 'smaller one' (i.e., the one that is more structurally integrated) will be used for (conceptually) more direct causation, whereas the 'larger' one will be used for less direction causation" (Whaley, *ibid.*). Lai causative constructions demonstrate that the generalization in Table I is correct. *S*-causative and *-ʔ*causative, which are smaller, are used for more direct causation whereas the *-ter* causative, which constitutes the larger construction, is used for less direct causation.

CONCLUSION

The study of causative constructions in Lai potentially contribute to the study of the Tibeto-Burman language family, in that it provides a frame of reference for the investigation of related phenomena (e.g. *s*-causative and *-ʔ*causative) in other Tibeto-Burman languages. For instance, it would be interesting to examine the syntactic as well as semantic similarities and differences of the *s*-causative type in Jingphaw, Burmese, Lahu, and Lai, given that they have the same historical source (cf. 1, 22, 23, 24). In addition, comparison between the Burmese analytic causative marker *sei* and the Lai morphological causative suffix *-ter* might illuminate the differences and similarities between analytic and morphological causatives.

This study also highlights the importance of comparative linguistics, in that two morphemes which Mizo still distinguishes, i.e., the causative suffix *-tiir* and a post-verbal particle *der* 'pretend to VERB', have already been merged into the Lai causative suffix *-ter*. This shows that a purely synchronic account of why the Lai *MIDDLE* + *-TER* results in the reading of *PRETEND TO VERB* is potentially misleading.

Figure III below shows that *s*-causatives and *-ʔ*causatives are best labeled "regular but morphologically unpredictable lexical causatives", while the *-ter* causative is an instance of a "fully productive morphological causative."

	Lexical CAUS	S- CAUS	-?CAUS	-ter CAUS
Morphology:				
Segmentable	-	-	-	+
Regular	-	+	+	+
Productive	-	-	-	+
Syntax:				
Unconstrained ¹³	-	-	-	+
Semantic:				
Direct CAUS.	+	+	+	-
Indirect CAUS.	-	-	-	+
Predictable	-	-	-	+
Only stative	-	-	+	-
Only non-stative	-	+	-	+

Figure III.

Abbreviations

1	'first person'	NEG	'negative'
2	'second person'	O	'object'
3	'third person'	PERF	'perfective'
BENEF	'benefactive'	POS	'possessive'
CAUS	'causative'	PTB	'Proto-Tibeto-Burman'
COP	'copula'	PCC	'Proto-Central-Chin'
DEIC	'deictic'	QST	'question'
ERG	'ergative'	PL	'plural'
FUT	'future'	REL	'relativizer'
IMP	'imperative'	RFL	'reflexive'
INT	'intransitive'	SG	'singular'
INV	'invariant'	S	'subject'
LOC	'locative'	TR	'transitive'

¹³ This refers to whether the causative form can occur only with intransitive verbs, or whether verbs with other valences are possible simplex verbs.

Appendix

This appendix gives more examples of the *s*-causative type.

<i>Simplex</i>			<i>Causative</i>		
<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>	<i>Form I</i>	<i>Form II</i>	<i>Gloss</i>
pew	péw	astray	phew	phéw	exclude
peŋ	inv.	fall off	phelŋ	inv.	trip
pit	piŋ	clog up	phit	phiŋ	block
pok	poŋ	become open	phok	phoŋ	open
pón	poŋ	come loose	phón	phoŋ	loosen
poy?	inv.	fall off	phoy?	inv.	untie
pùur	púur	uprooted	phúur	phúur	uproot
tláa	tláak	fall	thláa	thlaak	drop
tláaw	tláaw	disappear	thláaw	thlaaw	lose
tlây	tláy	become free	thlây	thláy	wean
tle	tleet	spill	thlee	thleet	spill (tr)
tleek	tle?	be ripped off	thleek	thle?	tear off (tr)
tlèer	tléer	be split	thlèer	thléer	split (tr)
tlóon	tlóŋ	be loose	thlóon	thloŋ	loosen (tr)
tlúu	tluuk	fall	thlúu	thluuk	fell
tol?	inv.	slide	thol?	inv.	slide (tr)
tsat	tsa?	disconnected	tshat	tsha?	sever
tsím	tsim?	slide	tshím	tshim?	slide (tr)
trek	tre?	spread	threk	thre?	scatter (tr)
tret	tre?	wear out	thret	thre?	dismantle
triaw	triáw	disperse	thriáw	inv.	disperse (tr)
trí	tiŋ	fall (fruit)	thrí	thriŋ	drop (tr)
trúm	trúm	descend	thrúm	thrum?	bring down
káan	kaŋ	burn	kháan	khaŋ?	set fire
kiak	kia?	break	khiak	khia?	break
kúar	kuar?	have holes	khúar	khuar?	dig
kuay	kúay	break	khúay	inv.	break (tr)
maan	máan	crush	hmáan	hmaan	crush (tr)
mér	mer?	turn	hmer?	twist	
mit	mi?	be extinguished	hmit	hmi?	extinguish
mot	mo?	be in pieces	hmot	hmo?	break up (tr)
ner?	inv.	entwine (int)	hner?	inv.	entwine (tr)
láv	law?	disappear	hláv	hlaw?	erase
laaw	láaw	alarm (int)	hláaw	hlaw?	alarm (tr)
luut	lu?	enter	hlu?	insert	
rí	riŋ	roll (int)	hrí	hriŋ	roll (tr)
rook	ro?	break down	hrook	hro?	destroy

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